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**Form CRS – Customer Relationship Summary**  
**5/1/23****Item 1: Introduction**

Our firm, Redhawk Wealth Advisors, Inc., is an investment advisor registered with the Securities and Exchange Commission. We feel that it is important for you to understand how advisory and brokerage services and fees differ to determine which type of account is right for you. There are free and simple tools available to research firms and financial professionals at <http://www.investor.gov/CRS>, which also provides educational materials about investment advisors, broker-dealers, and investing.

**Item 2: Relationship and Services****What investment services and advice can you provide me?**

We are a registered investment advisor that offers investment advisory services to clients. Our advisory services include Investment Management and Financial Planning. We customize all services to your individual needs by determining your specific goals, objectives, risk tolerance, time horizon, investment restrictions, and other factors that affect the financial advice you receive. Based on what we learn, we will recommend a portfolio of investments that we monitor to ensure compliance with your stated goals and objectives. We generally manage accounts on a discretionary basis. After you sign an agreement with our firm, we can buy and sell investments in your account without asking you in advance. If deemed appropriate for you, our Firm will recommend utilizing an independent Third-Party Money Manager (“TPMM”) or Sub Advisor to aid in the implementation of investment strategies for the client’s portfolio. Redhawk may enter into agreements with unaffiliated registered investment advisors (hereafter referred to as an “Independent RIA”) whereby Redhawk oversees and manages on a discretionary basis some or all of the Independent RIA’s client assets according to the investment strategy chosen by the client. For clients who enter into agreements with Independent RIA in a joint capacity with Redhawk, both advisors oversee and manage, on a discretionary basis, some or all of the client assets according to the investment management agreement(s). Any limitations will be described in the signed advisory agreement. We will have discretion until the advisory agreement is terminated in writing by you or our firm. As a condition for starting and maintaining an investment advisory relationship, we generally require a minimum portfolio size of \$1,000. We, in our sole discretion, may waive our stated account minimums. Financial Planning is offered as a separate fee. We do not monitor your investments for the Financial Planning service.

**QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:**

1. Given my financial situation, should I choose an investment advisory service? Why or why not?
2. How will you choose investments to recommend for me?
3. What is your relevant experience, including your licenses, education, and other qualifications? What do those qualifications mean?

**For more information, please refer to our Firm’s ADV Part 2A Brochure – Items 4, 7, 13 and 16.**

<https://adviserinfo.sec.gov/firm/summary/146616>.

**Item 3: Fees, Cost, Conflicts and Standard Conduct****What fees will I pay?**

For investment advisory services, you will be charged an ongoing monthly fee based on the average daily value of the investments in your account. Our Investment Management fee schedule is standard for all clients, and ranges from 0.50% to 1.75% annually depending on the value of the investments in your account. For TPMM, fees and billing methods are outlined in each respective TPMM’s Brochure and Advisory Contract. You pay an ongoing fee directly to the TPMM based upon a percentage of your assets under management with respect to each TPMM. For clients who enter into an agreement for sub advisor services with an Independent RIA in a joint capacity with Redhawk, both advisors oversee and manage, on a discretionary basis, some or all of the client assets according to the investment management agreement(s). Redhawk will deduct the advisory fees and will remit the Independent RIA’s portion of the total advisory fee. In these situations, the client remains a client of the Independent RIA and Redhawk Wealth Advisors. The more assets you have in your advisory account, the more you will pay us. We therefore have an incentive to increase the assets in your advisory account to increase our fees. Your custodian will charge transaction costs, custodial fees, redemption fees, retirement plan and administrative fees, or commissions. The mutual funds and/or ETFs held in your advisory account(s) will charge management fees and other expenses. Our firm’s fees will

be automatically deducted from your advisory account, monthly in arrears, which will reduce the value of your advisory account. You will pay fees and costs whether you make or lose money on your investments. Our Financial Planning service fee ranges from \$250 - \$2,500 and is based on the complexity of the plan and the number of hours it will take to develop and maintain the plan. This fee will be disclosed in the financial planning agreement. Our Firm utilizes promoters and pays compensation to independent third parties for the referral of clients to our Firm. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

#### **How do your financial professionals make money?**

Our financial professionals are compensated based on a percentage of the amount of assets under management that they service, which includes the time and complexity required to meet your needs; the investment solutions used; or revenue the firm earns from financial professional's advisory services or recommendations. They also may receive additional compensation from outside business activities, such as sales commissions for selling insurance, fees for providing accounting services, or fees for serving in a consulting capacity.

#### **QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:**

1. Help me understand how these fees and costs may affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs and how much will be invested for me?

**For more information on our fees and how we calculate fees, please refer to Item 5 of our Part 2A Firm Brochure, which is available online at <https://adviserinfo.sec.gov/firm/summary/146616>.**

When we act as your investment advisor, we are required to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means:

As a fee-only advisor, a conflict of interest may exist any time we recommend that you keep your assets under our management rather than remove your assets from our management. Examples could include recommending that you rollover an account into an account managed by our firm or recommending that your assets remain in your account rather than satisfying a debt obligation. Our Firm is required to disclose commonly owned entities that would provide different services to you and generate additional compensation to our Firm. Personnel of Redhawk Wealth and Financial Advisors affiliated with Redhawk Wealth may act as agents for tax preparation services and various insurance companies and receive commissions, trails, or other compensation as a result of effecting insurance transactions. Please note that you always have a right to choose services and products from our affiliated entities or any other firm that provides similar services and products. While some of our Firm's Financial Professionals are engaged in outside business activities, we are required to disclose material outside business activities and any conflict it may pose to you. Our Firm supervises the business activities of our Financial Professionals through our compliance program. All Financial Professionals are required to follow a Code of Conduct to mitigate any conflicts to you. A conflict of interest may exist if a financial professional conducts personal trading and trading alongside your trades.

#### **QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:**

1. How might your conflicts of interest affect me, and how will you address them?

**For more information, please refer to our Firm's ADV Part 2A Brochure – Items 5, 10, 11, and 14. <https://adviserinfo.sec.gov/firm/summary/146616>.**

#### **Item 4: Disciplinary History**

#### **Do you or your financial professionals have legal or disciplinary history?**

Yes. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

#### **QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:**

1. As a financial professional, do you have any disciplinary history? For what type of conduct?

**For more information, please refer to our Firm's ADV Part 2A Brochure – Item 9. <https://adviserinfo.sec.gov/firm/summary/146616>.**

#### **Item 5: Additional Information**

You can find additional information about our firm's investment advisory services on the SEC's website at <https://adviserinfo.sec.gov/> by searching CRD #146616. You may also contact our firm at (952) 835-4295 or [support@redhawkwa.com](mailto:support@redhawkwa.com) to request a copy of this relationship summary and other up-to-date information.

#### **QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:**

1. Who is my primary contact person? Is he or she a representative of an investment advisor or a broker-dealer?
2. Who can I talk to if I have concerns about how this person is treating me?

### Material Changes Since Last Filing

On March 10, 2023, the firm updated Form CRS for annual renewal. The following material change was made:

- **Item 2:** The Firm added description for the use of Third-Party Money Managers or Sub Advisors. Redhawk may enter into agreements with unaffiliated registered investment advisors (hereafter referred to as an “Independent RIA”).
- **Item 3:** The Firm updated descriptions for fees. The Firm updated the term ‘solicitor’ to ‘promoter’ in adherence to Rule 206(4)-1, Marketing & Advertising Rule. For TPMM, fees and billing methods are outlined in each respective TPMM’s Brochure and Advisory Contract. For clients who enter into an agreement for sub advisor services with an Independent RIA, Redhawk will deduct the advisory fees and will remit the Independent RIA’s portion of the total advisory fee. In these situations, the client remains a client of the Independent RIA and Redhawk Wealth Advisors. The Firm added description of outside affiliations, their associated conflicts of interest and how the Firm mitigates those conflicts.

On May 1, 2023, the firm decreased the maximum fee percentage in Item 3 from 2.00% to 1.75%.